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SECTOR #3  
PATENT  
450100-03330

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hiroichi ISHIKAWA  
Serial No. : 09/897,571  
Filed : July 2, 2001  
For : OPTICAL MULTILAYER STRUCTURE, OPTICAL  
SWITCHING DEVICE, AND IMAGE DISPLAY  
Art Unit : 2673

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on October 29, 2001

Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or  
Registered Representative

*Gordon Kessler*  
Signature  
October 29, 2001  
Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File  
Missing Parts of Application" (form PTO-1533) dated August 28,  
2001 on the above application, a Declaration duly signed by the  
inventor, and a check in the amount of the required \$130.00  
surcharge. By separate cover, we are filing the Assignment and  
the \$40.00 fee for recording same.

PATENT  
450100-03330

Please charge any additional fees incurred or credit  
any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the  
identified application is now complete. Early examination of the  
application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant

By: 

Gordon Kessler  
Reg. No. 38,511  
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Enclosure

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F

Assistant Commissioner for Patents  
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#3



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/897,571	01/30/2002 ORIGINAL FILED	Hiroichi Ishikawa	450100-03330

CONFIRMATION NO. 9687

## FORMALITIES LETTER



\*OC000000006487749\*

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New York, NY 10151

Date Mailed: 08/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE